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innovations  
for high  
performance  
microelectronics

# ***In-operando HAXPES as a non-destructive technique for investigating the resistive switching phenomenon***

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**Thomas Schroeder** <sup>1,3</sup>, and **Christian Walczyk** <sup>1</sup>

***4<sup>th</sup> Leti Workshop on Innovative Memory Technologies***

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# Outline

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## 1. Motivation

- Embedded Non-Volatile Memory
- Material Research for RRAM at IHP

## 2. „*In-operando*“ measurements

- HAXPES, a non-destructive study
- *in-operando* experiment

## 3. $V_O^-$ -based RS mechanism

- Study of the electroforming
- *in-operando* study of the OFF- and ON-states

## 4. Conclusion & Outlook

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- Material Research for RRAM at IHP

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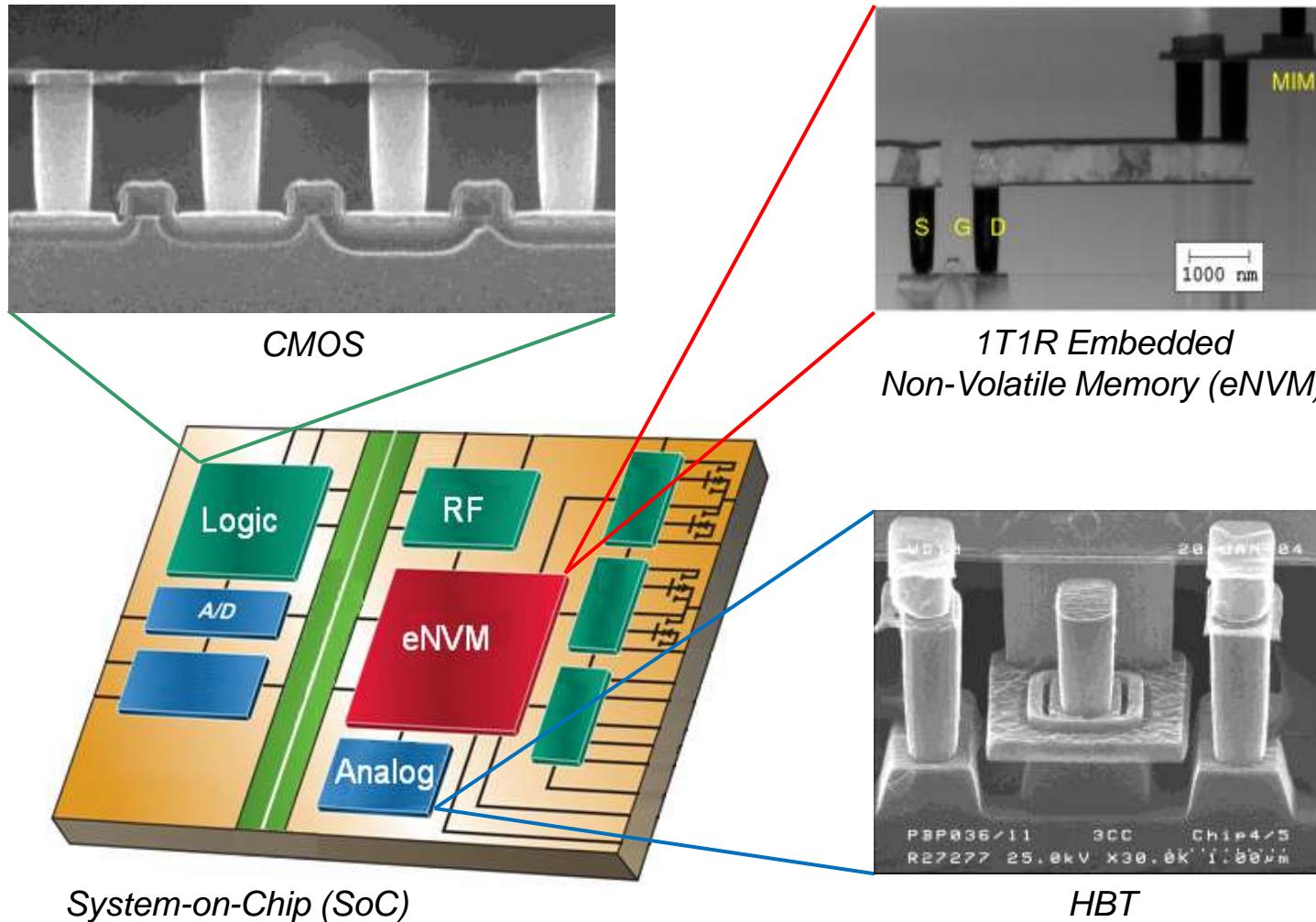
- *HAXPES, a non-destructive study*
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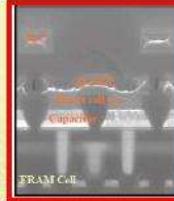
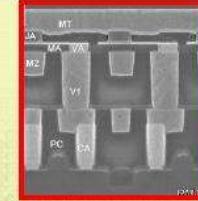
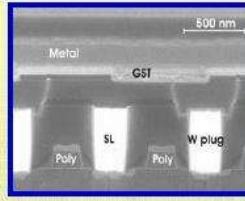
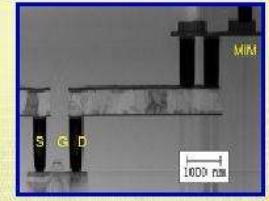
## 1.1. Embedded Non-Volatile Memory (eNVM)



- Advantages of eNVM:
  - Low-power dissipation
  - I/O pins reduced
  - Reduced system cost
  - Reduced PCB area
  - Improved data security

- eNVM developed for IHP's Si CMOS technology platform for SoC solutions.
- Improvement of performance specifications compared to established eNVM modules.

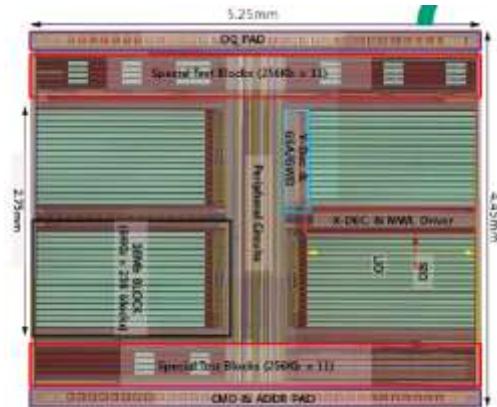
## 1.1. Why RRAM?

	<b>FRAM (ferroelectric)</b>	<b>MRAM (magnetic)</b>	<b>PCM (phase change)</b>	<b>RRAM (resistance change)</b>
<b>Storage Mechanism</b>	Permanent polarization of a ferroelectric material (PZT or SBT)	Permanent magnetization of a ferromagnetic material in a MTJ	Amorphous/poly-crystal phases of chalcogenide alloy	Resistance states in oxides
<b>Cell Size F<sup>2</sup></b>	Large ~40 → 20	Large ~25	Small ~8	Small ~ 4
<b>Scalability</b>	Poor	Poor	Good	Good
<b>Endurance</b>	$10^{10}$ (destructive read)	$>10^{14}$	$10^{12}$	$10^{12}$
<b>Write</b>	Low power capacitive Theoretically good speed	Power constrained, Scales poorly	Power constrained, Improves with scaling	Low power
<b>Application</b>	Embedded, Low Density	Embedded, Low Density	Stand Alone or Embedded High Density, Low Cost	Embedded, Low Density
<b>Maturity</b>	Limited prod.	Test chips	Test chips	Test chips
<b>Cell Cross-Section</b>	 Samsung, IEDM 04	 IFXIBM, VLSI-TSA 05	 STM, ESSDERC 04	

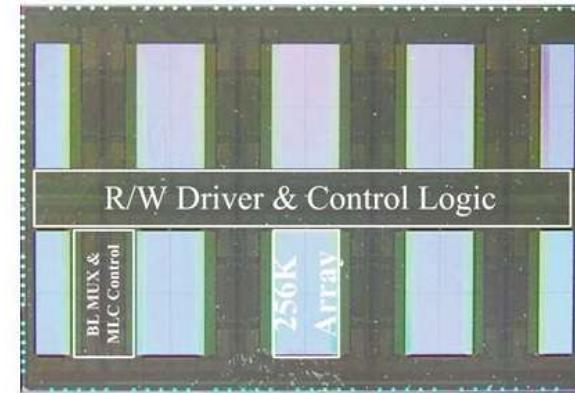
- Advantages of Resistive RAM as eNVM
  - High academic research relevance.
  - Cost-effective back-end-of-line (BEOL) process integration.
  - Improved performance specifications compared to established eNVM (low-power).

## 1.2. Benchmark

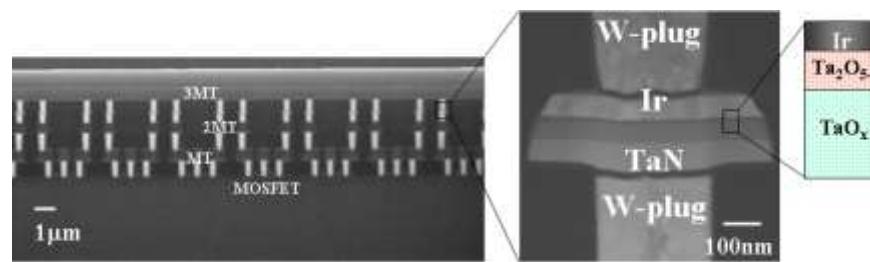
Hynix: 256-kbit array with  $TiO_2/Al_2O_3$   
(Memory Workshop, Grenoble, June 2011)



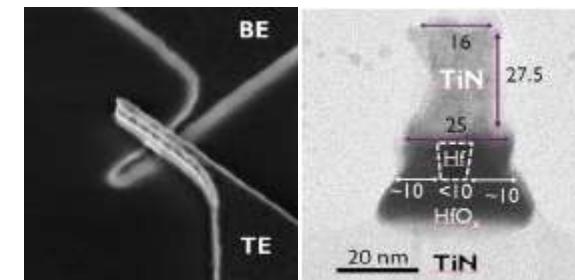
ITRI: 4-Mbit array with  $TiN/Ti/HfO_2/TiN$   
(IEEE ISSCC, 2011)



Panasonic: 256-kbit array with  $Ir/Ta_2O_5/TaO_x$   
(IEDM 2011)



IMEC:  $10 \times 10 \text{ nm}^2$  record RRAM with  $Hf/HfO_2$   
(IEDM 2011)



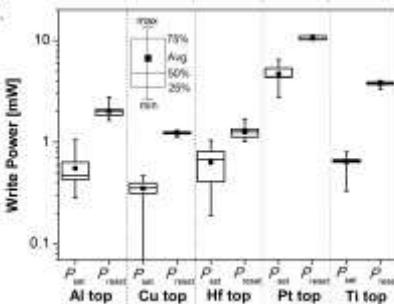
And also Hewlett Packard, Samsung...



**Increasing interest and focus on  $HfO_2$  and  $Ta_2O_5$ -based systems.  
Towards memory array demonstrators realization!**

## 1.3. Material Research for RRAM

### Selection of CMOS compatible materials

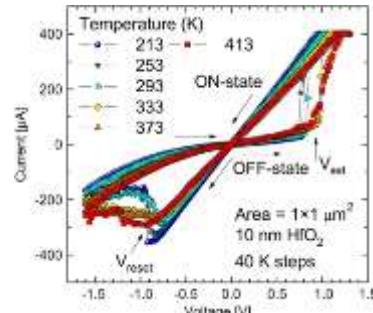
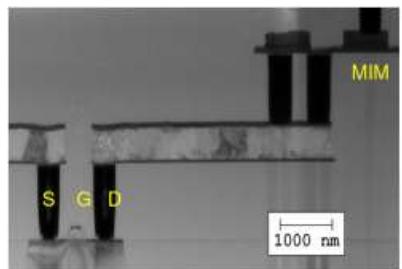


TiN + HfO<sub>2</sub> + Ti/TiN

Ch. Walczyk et al., JAP **105**, 114103 (2009).

T. Beraud et al., Thin Solid Films **520**, 4551 (2011).

### Characterization of 1T1R

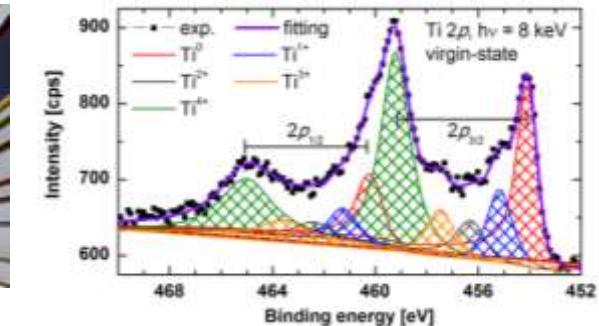


4-kbit array integration

Ch. Walczyk et al., IEEE T. Electron Dev. **58**, 3124 (2011).

D. Walczyk et al., Microelectron. Eng. **88**, 1133 (2011).

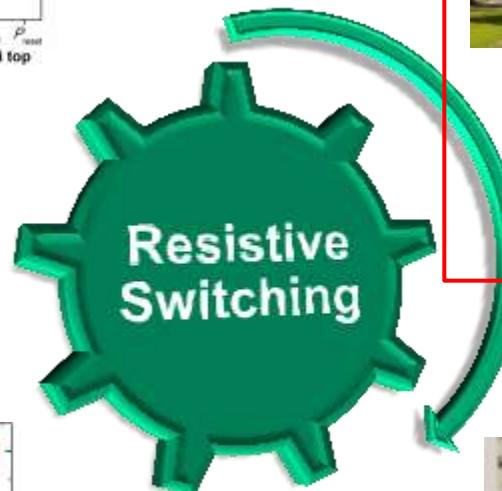
### Innovative HAXPES studies



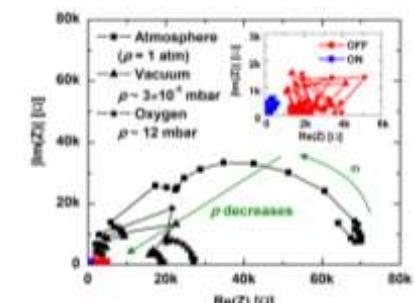
„In-operando“ HAXPES

M. Sowinska et al., APL **100**, 233509 (2012).

T. Beraud et al., submitted to APL (2012).



### Impact of the oxygen partial pressure



Role of oxygen / oxygen vacancies

T. Beraud et al., EMRS (2012).

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- **HAXPES, a non-destructive study**
- ***in-operando* experiment**

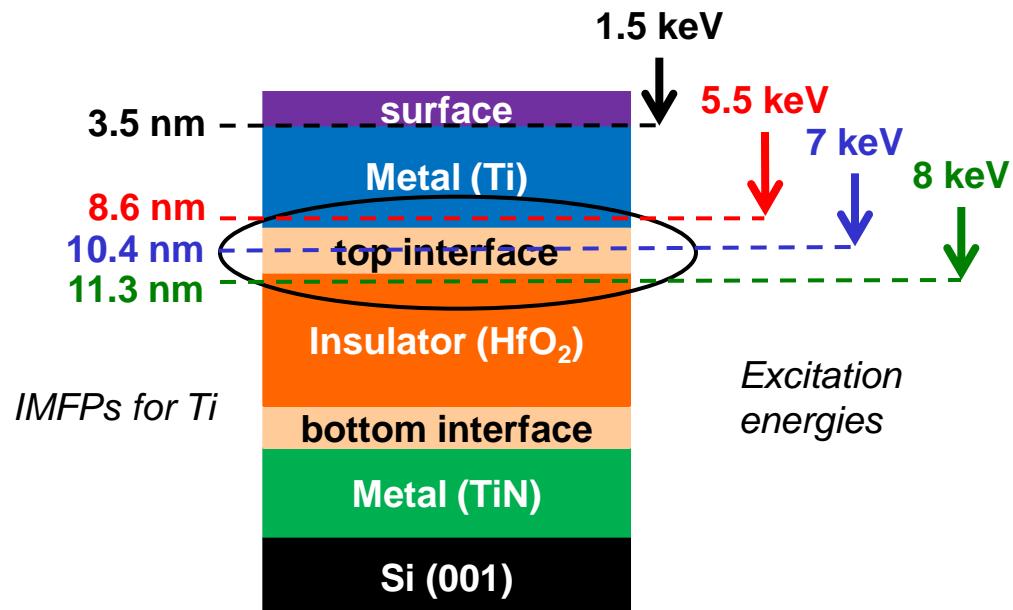
## 3. $V_O^{..}$ -based RS mechanism

- *Study of the electroforming*
- *in-operando study of the OFF- and ON-states*

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## 2.1. Hard X-ray Photoelectron Spectroscopy (HAXPES)

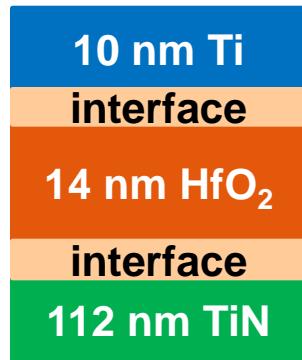
- Correlation of the material properties with the electrical state of the Ti/HfO<sub>2</sub>/TiN RRAM cell thanks to *in-operando* HAXPES
- Understand the modifications occurring at the Ti/HfO<sub>2</sub> interface during the RS process.



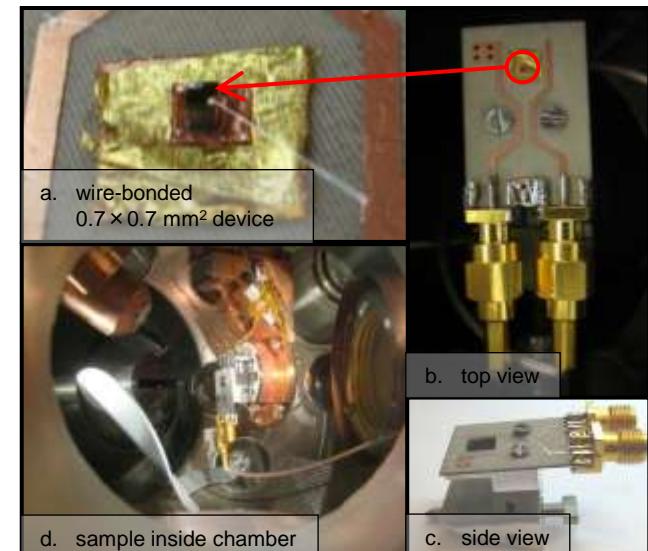
- Standard XPS techniques are surface sensitive
- Hard X-ray PhotoElectron Spectroscopy (HAXPES) allows non-destructive depth profile characterization of the buried Ti/HfO<sub>2</sub> interface.
  - High flux and brilliance of photon beam
  - High energy resolution

## 2.2. “*in-situ*“ HAXPES: sample preparation

- Special setup developed at IHP in order to:
  - Dynamically monitor the RS inside the HAXPES chamber for one and the same sample.
  - Investigate the differences between the ON- and OFF-states of the RS.



- MIM stack (thicknesses obtained via X-Ray Reflectometry):
  - 112 nm TiN bottom electrode,
  - 14 nm HfO<sub>2</sub> deposited by AVD,
  - 10 nm of Ti deposited by PVD.
- *in-situ* setup realization:
  - $700 \times 700 \mu\text{m}^2$  device divided,
  - Bottom electrode contact ( $200 \times 200 \mu\text{m}^2$ ) opened via ToF-SIMS,
  - Sample mounted on a printed circuit board (PCB) and wire-bonded.
  - Device connected to the semiconductor analyser inside the HAXPES vacuum chamber.



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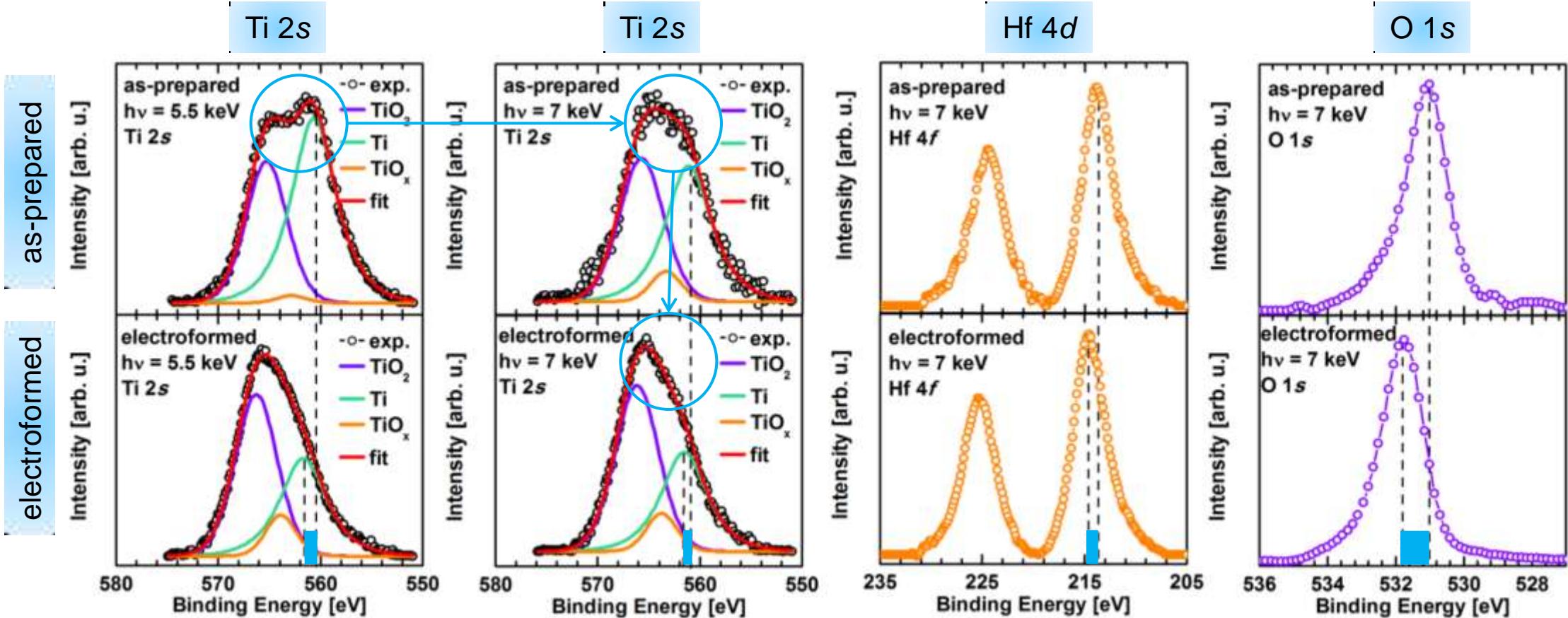
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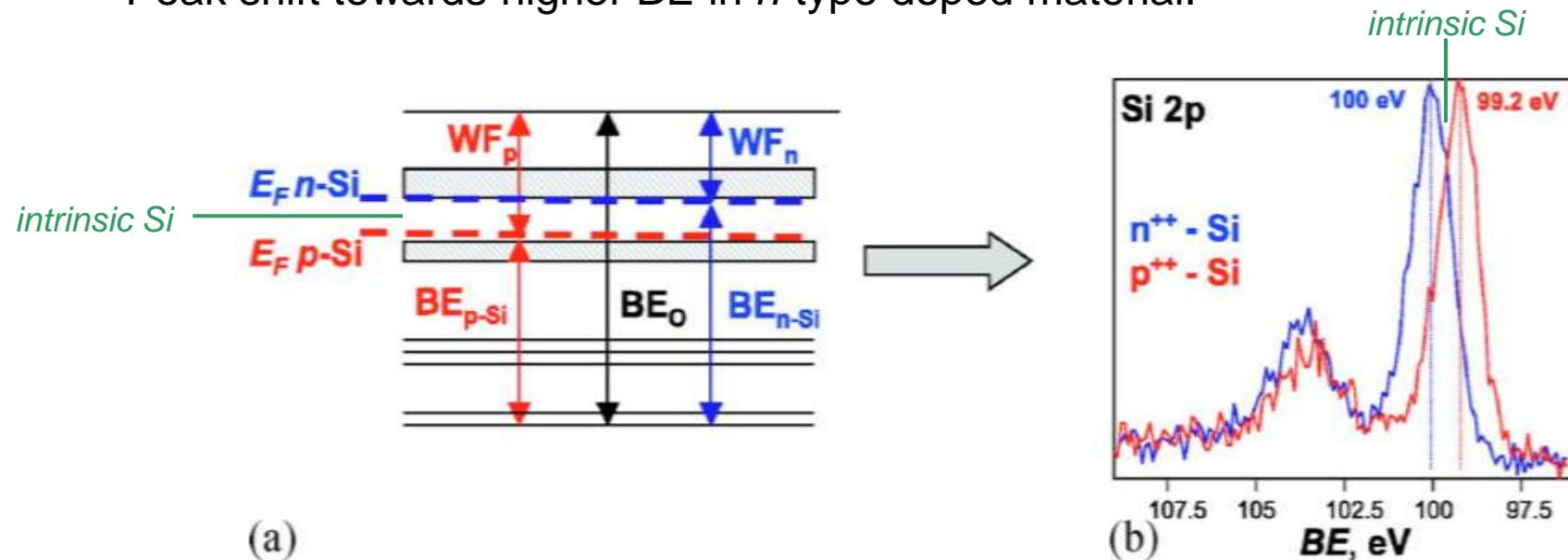
### 3.1. Characterization of the electroforming



- Presence of a  $\text{TiO}_x$  interfacial layer between the metallic Ti top electrode and the  $\text{HfO}_2$ :
  - Decrease of the metallic Ti peak,
  - increase of the  $\text{TiO}_x$  sub-peak → oxygen-gettering activity of the Ti.
- As-prepared → electroformed:
  - Increase of Ti oxidation at the Ti/ $\text{HfO}_2$  interface,
  - shift toward higher BE of all Ti, Hf and O peaks.

### 3.1. Origin of the peak shift

- Peak shift towards higher BE in *n*-type doped material.

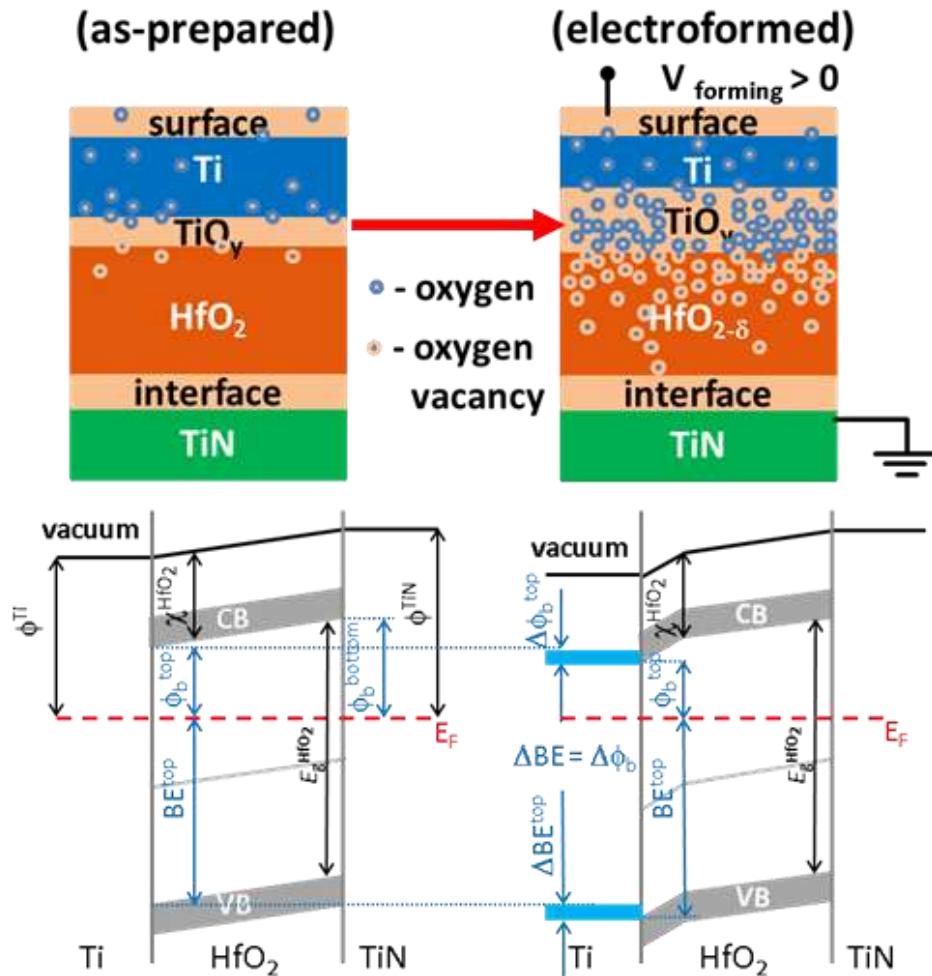


- $V_O^{..}$  as a stable n-type defects in  $HfO_2$ .
- We link the observed peak shift to an increase of the band bending at the interface because of higher space charge potential created by the higher concentration of  $V_O^{..}$  at the  $Ti/HfO_2$  interface.

Y. Lebedinskii et al., JAP 101, 074504 (2007).

J. Robertson, et al., Appl. Phys. Lett. 91, 132912 (2007).

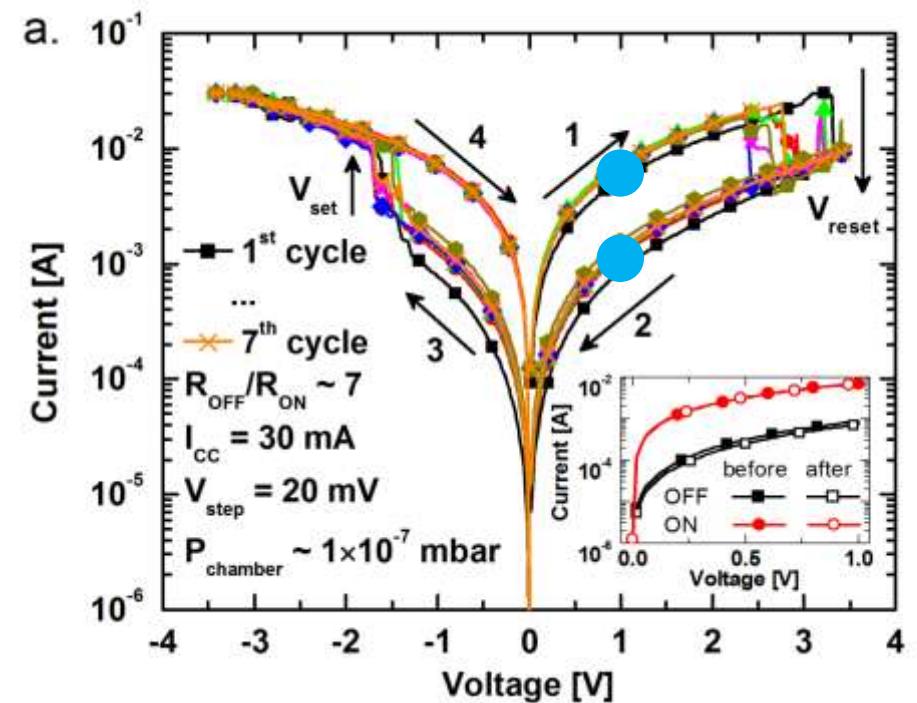
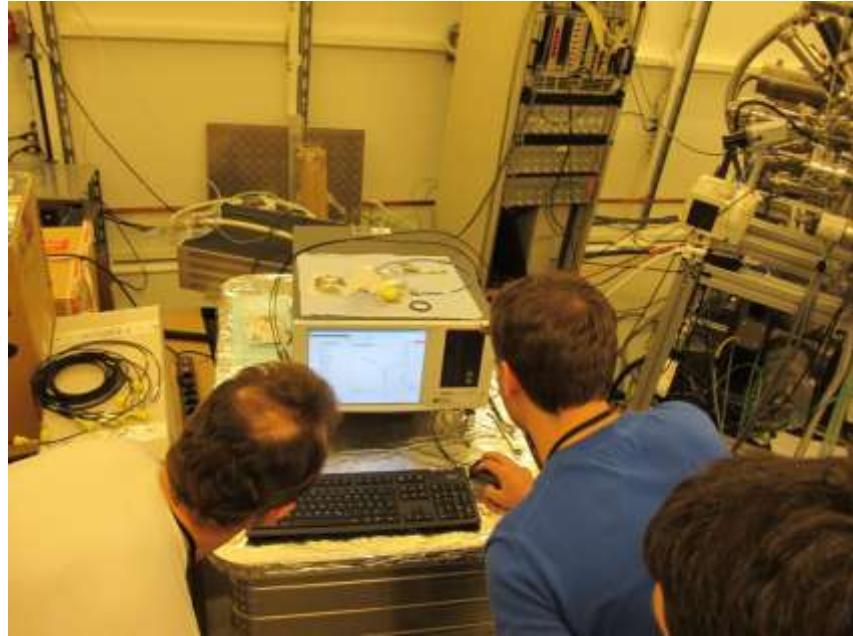
## 2.1. Proposed modifications caused by the electroforming



- Chemical modifications at the Ti/HfO<sub>2</sub> interface:
  - Increase of the Ti oxidation at the interface by oxygen-gettering activity of the Ti.
  - Creation of a Ti/TiO<sub>x</sub>/HfO<sub>2-δ</sub> structure.
- Electronic modifications at the Ti/HfO<sub>2</sub> interface:
  - Increase of the downward band bending due to higher concentration of *n*-type dopants ( $V_O^{..}$ ).
  - Decrease of  $\phi_b \rightarrow$  increase of the conduction

M. Sowinska et al., APL 100, 233509 (2012).

## 2.2. *in-operando* HAXPES: electrical results



- Successful *in-operando* resistive switching during HAXPES measurements:
  - 7 cycles with a  $R_{OFF}/R_{ON}$  ratio of  $\sim 7$  and at a pressure of  $1 \times 10^{-7}$  mbar,
  - HAXPES spectra recorded for the virgin-, OFF- and ON-states:
    - Ti 2p line,
    - Hf 4f,
    - O 1s.

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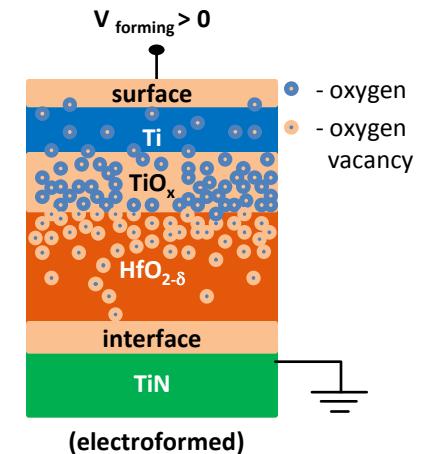
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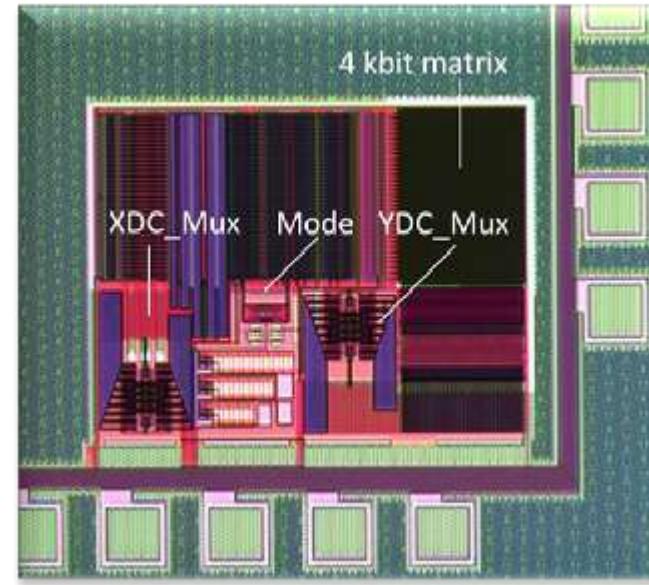
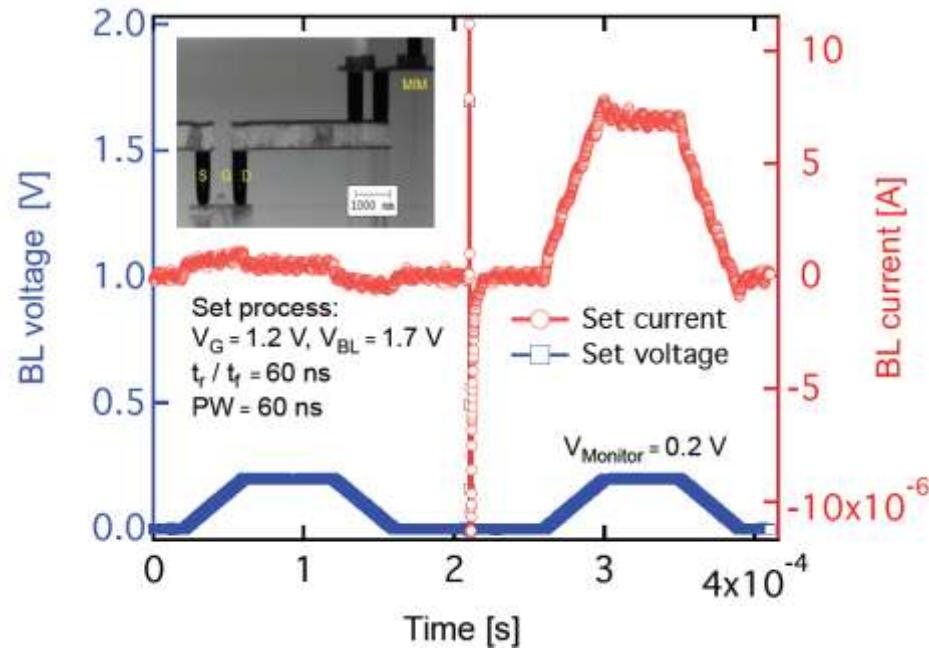
- HAXPES as a powerful technique for RRAM investigations:
  - non destructive analysis of buried interfaces,
  - *in-operando* experiment performed.
- Proposed modifications induced by the electroforming:
  - $V_{\text{forming}} > 0 \rightarrow$  oxygen migration towards TE  $\rightarrow$  Ti/HfO<sub>2</sub> interface oxidation,
  - removed oxygen atoms leave V<sub>O</sub><sup>..</sup> in the HfO<sub>2</sub>  $\rightarrow$  *n*-type doping of the HfO<sub>2-δ</sub>.
- Proposed RS mechanism in the Ti/HfO<sub>2</sub>/TiN:
  - push-pull model of V<sub>O</sub><sup>..</sup> migration as a function of voltage polarity,
  - TiO<sub>x</sub> interface layer acts as an oxygen reservoir.



M. Sowinska et al., APL 100, 233509 (2012).

T. Beraud et al., submitted to APL.

## 4. Outlook



- Pulse-induced *in-operando* HAXPES measurements:
  - To perform electrical measurements in adequation with future integrated devices,
  - To understand the physics of the RS degradation,
- A 4 kbit array based on Ti/HfO<sub>2</sub>/TiN 1T1R cells was recently processed in IHP's Si CMOS technology and is currently under characterization.

D. Walczyk et al., to be presented at ISCDG, Grenoble (2012).

A photograph of a modern architectural complex. On the left, a large building features a facade of many vertical glass panes. In the center, a two-story building with a dark grey or black textured facade and large windows. To the right, a white cylindrical building is visible. The sky is blue with some white clouds. A red banner with white text is overlaid on the top right.

# Thank you for your attention!

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